

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Alison Joy HODGKINSON et al

Serial No.:

09/424246

Group Art Unit: 1641

Filed: November 29, 1999

For:

PROCESSES FOR PRODUCTION

OF IMMUNOGLOBULIN IN A MILK

#### INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents Washington, DC 20231

Sir:

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicant(s), through the undersigned attorney, submits this Information Disclosure Statement. The patents, publications or other information submitted herewith are listed on the attached Form PTO-1449 and copies are attached.

Documents AJ-AK and AP1-AQ1 were cited in the International Search Report. A copy of the ISR is attached.

All remaining documents listed on the attached Form PTO-1449 are discussed on pages 2, 3 and 12 of the instant specification.

Document AB is the U.S. counterpart of French Patent No. 2520235 listed on page 12 of the specification.

In accordance with 37 CFR §1.97(b)(3), this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits of the above-identified application.

Respectfully submitted,

JACOBSON, PRICE, HOLMAN & STERN, PLLC

By: \_\_\_\_\_

John C. Holman Registration No. 22,769

400 Seventh Street, N.W. Washington, DC 20004 (202) 638-6666

Atty. Dkt. No.: 6059/P64057US0

Date: April 5, 2000

## JACOBSON, PRICE, HOLMAN & STERN, PLLC

400 SEVENTH STREET, N.W.

WASHINGTON, D.C. 20004-2201

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY.	DOCKET	NO.: <u>6059</u>	/P64057US0	GROUP ART	UNIT:	1641	ΰ			
SERIAL NO.:		09/4	24246	FILING DAT	E: <u>No</u>	November 29, 1999				
APPLIC	CANT(S)	: HODG	KINSON et a	1 TODAY'S DA'	TE: Ar	April 5, 2000				
				•						
*****	*****	*****	******	*******	*****	*****	*****	**		
		•	U.S. P	ATENT DOCUMENTS						
*EXAMINER		DOCUMENT				SUB-	FILING DATE	:		
INITIAL		NUMBER	DATE	NAME	<u>CLASS</u>	CLASS	(If Appropria	<u>te)</u>		
	AA	4229342	10/21/80	<u>Mirabel</u>	260	120				
	AB	4582580	04/15/86	Goudal et al	204	<u> 182.6</u>				
	AC	4644056	02/17/87	Kothe et al	<u>530</u>	387				
	AD	5066491	11/19/91	Stott et al	424	<u>85.8</u>				
	ΑE	5260057	11/09/93	<u>Cordle et al</u>	424	85.8				
			.*							
*****	*****	******	******	*****	*****	*****	*****	* *		
			FOREIGN	PATENT DOCUMENTS	,					
		DOCUMENT				SUB-	TRANSLATION			
		<u>NUMBER</u>	DATE	COUNTRY	CLASS	CLASS	(YES)	NO)		
	AF	239466	08/19/91	New Zealand						
	AG	0320152	06/14/89	Europe				<del></del>		
	AH	2179947	03/18/87	United Kingdom						
	AI	WO97/27757	08/07/97	WIPO						
	AJ	1573995	09/03/80	<u>United Kingdom</u>						
	AK	0469359	02/05/92	Europe						
*****	*****	*****	**** <u></u> *****	*****	*****	*****	****	* *		
	OTHER	ART (Includ:	ing Author,	Title, Date, Pert	inent P	ages, E	tc.)	٠.		
	AL	Am J Vet Res, Vol. 45, No. 1, Saif et al, "Immune response of								
		prequant co	ws to bovir	ne", pgs. 49-58,	Januar	y 1984				
	AM	Vet Immunology & Immunopathology, Vol. 6, Opdebeeck et al,								
		"Comparative	effect of	selected", pgs	. 341-35	1, 1984	<u> </u>			
	AN	Vaccine, Vo	<u>l. 11, Issu</u>	ie 2, Husband, "Nov	rel vacc	<u>ination</u>	·			
		strategies	for the cor	ntrol", pgs. 107	-112, 1	993				
	AO	Vaccines in Agriculture, Watson et al, "Immunological effector								
		mechanisms	<u>in:ruminant</u>	s", pgs. 21-36, 19	94		·			
								_		
XAMINER				DATE CONSIDERED						

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

## JACOBSON, PRICE, HOLMAN & STERN, PLLC

400 SEVENTH STREET, N.W.

WASHINGTON, D.C. 20004-2201

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET	NO.: 6059/P64057US0 GROUP ART UNIT: 1641							
SERIAL NO.:	09/424246 FILING DATE: <u>November 29, 1999</u>							
APPLICANT(S)	: HODGKINSON et al TODAY'S DATE: April 5, 2000							
*****	******************							
OTHER	ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
AP	J Dairy Sci, Vol. 80, No. 10, Hogan et al, "Responses of anti-							
	body Titers to Itramammary", pgs. 2398-2402, pgs. 1997							
AQ	Australian Jour of Dairy Tech, Sheldrake, "Immune mechanisms							
	the ruminant mammary gland", pgs. 30-32, March/June 1987							
AR	Immunology, Vol. 56, Sheldrake et al, "The effect of intra-							
	peritoneal and intramammary", pgs.605-615, 1985							
AS	Res in Vet Sci, Vol. 38, Sheldrake et al, "Specific antibody-							
	containing cells in the mammary", pgs. 312-316, 1985							
AT	Vaccine, Vol. 3, Crouch, "Vaccination against enteric rota and							
	coronaviruses in cattle", pgs. 284-291, September 1985							
AU	Cambridge Univ. Press, "The mucosal immune system with particu-							
	lar reference to ruminant animals", pgs. 429-457, 1986							
AV	Res in Vet Science, Vol 45, Sheldrake et al, "Origin of antibody							
	containing cells in the ovine", pgs. 156-159, 1988							
AW	Res in Vet Science, Vol. 49, Sheldrake et al, "IgA immune re-							
	sponses in the respiratory", pgs. 98-103, 1990							
AX	<u>Health and Production for the 21st Century, Husband et al, "The</u>							
	development of vaccines to protect", pgs. 82-88, 1993							
AY	Eur. J. Pediatr., Vol. 132, Mietens et al, "Treatment of Infant-							
4	<u>ile E. coli gastroenteritis", pgs. 239-252, 1979</u>							
AZ	Ad. Exp. Med & Biol, Pahud et al, "Bovine milk antibodies in the							
	treatment of enteric infections", pgs. 591-600, 1981							
AA1	J of Inf. Diseases, Vol. 156, No. 1, Hilpert et al, "Use of							
	bovine milk concentrate containing", pgs. 158-166, July 1987							
AB1	J of Cli. Microbiology, Vol. 25, No. 6, Brussow et al, "Bovine							
3.00-	milk immunoglobulins for passive", pgs. 982-986, June 1987							
AC1	Antibodies: A Laboratory Manual, Chapter 5, "Intravenous							
	<u>injections", pgs. 110-114, 1988</u>							
	·							
XAMINER	DATE CONSIDERED							
	DATE CONSIDERED							

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

# JACOBSON, PRICE, HOLMAN & STERN, PLLC

400 SEVENTH STREET, N.W.

WASHINGTON, D.C. 20004-2201

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

EXAMINER	<u> </u>	DATE CONSIDERED
	****	ACCULATION ADSCIACO ACCESSION NO. 76033025
		Medline Abstract Accession No. 8524380  Medline Abstract Accession No. 76033025
	AP1	colostrum immunoglobulin concentrate", pgs. 355-357, 1994
	AO1	J of British Pediatric Assoc., Vol. 70, No. 4, Heaton, "Bovine
<del></del> .		cryptosporidium-associated diarrhea", pgs. 486-489, 1990
	AN1	Gastroenterology, Vol. 98, No. 2, Ungar et al, "Cessation of
	WILL	Inf and Immunity, Vol. 55, No. 10, Michalek et al, "Protection of Gnotobiotic Rats against", pgs. 2341-2347, Oct. 1987
	AM1	bovine milk immonoglobulin", pgs. 276-283, 1992
	AL1	Am. J. Med. Hyg., Vol. 47, No. 3, Tacket et al, "Efficacy of
		aration of hyperimmune bovine", pgs. 38S-39S, NovDec. 1991
	AK1	J. Protozool, Vol. 38, No. 6, Fayer et al, "Production and prep-
		hyperimmune bovine colstrum", pgs. 564-569, 1993
	AJ1	hyperimmune cow colostrum", pgs. 344-345, 1987  J of App Bacteriology, Vol. 74, Husu et al, "Production of
	ATT	The Lancet, Tzipori et al, Chronic cryptosporidial diarrhoea and
	AI1	for the prevention and", pgs. 108-131, 08/31-09/01/93
	AH1	International Diary Federation, Ruiz, Jr., "Antibodies from milk
		and gel filtration methods for", pgs. 247-251, 1993
<del></del>	AG1	Milchwissenschaft 48, Vol. 5, Kanamaru et al, "Ultrafiltration
		IgA from Bovine Mammary Secretions", pgs. 227-231, 1986
	AF1	Can J Vet Res, Vol. 50, Nielsen, "A method for preparation of
	_	tion of Secretory IgA from Bovine", pgs. 1531-1537, 1982
	AE1	Agric. Biol. Chem., Vol. 46, No. 6, Kanamaru et al, "Purifica-
	TUA	ILAR Journal, Vol. 37, No. 3, Jackson et al, "Institutional policies and guidelines on adjuvants", pgs. 141-152, 1995
	AD1	ART (Including Author, Title, Date, Pertinent Pages, Etc.)
****		******************
APPLIC	JANT (S)	: <u>HODGKINSON et al</u> TODAY'S DATE: April 5, 2000
SERIAI	L NO.:	09/424246 FILING DATE: November 29, 1999
		NO.:6059/P64057US0

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).